PLUS Search Results for S/N 10601122, Searched Fri Jul 20 10:36:45 EDT 2007 The Patent Linguistics Utility System (PLUS) is a USPTO automated search system for U.S. Patents from 1971 to the present PLUS is a query-by-example search system which produces a list of patents that are most closely related linguistically to the application searched. This search was prepared by the staff of the Scientific and Technical Information Center, SIRA.

20050149423 25

20060100948 25

```
705/36R
                     (18 OR, 2 XR)
           Class 705
                          DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,
OR COST/PRICE DETERMINATION
           705/1
                           .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR
MANAGEMENT ARRANGEMENT
           705/35
705/36R
                          ...Finance (e.g., banking, investment or credit) ....Portfolio selection, planning or analysis
          (12 OR, 7 XR)
 19
     705/35
                          DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,
OR COST/PRICE DETERMINATION
           705/1
                           .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR
MANAGEMENT ARRANGEMENT
          705/35
                          .. Finance (e.g., banking, investment or credit)
    705/37
                     (11 \text{ OR}, 4 \text{ XR})
           Class 705
                          DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,
OR COST/PRICE DETERMINATION
           705/1
                           .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR
MANAGEMENT ARRANGEMENT
           705/35
705/37
                           .. Finance (e.g., banking, investment or credit)
                          ...Trading, matching, or bidding
    705/1
                     (0 \text{ OR}, 5 \text{ XR})
           class 705
                          DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,
OR COST/PRICE DETERMINATION
           705/1
                           .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR
MANAGEMENT ARRANGEMENT
          (2 OR, 2 XR)
    705/38
                          DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,
OR COST/PRICE DETERMINATION
           705/1
                          .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR
MANAGEMENT ARRANGEMENT
           705/35
                          ...Finance (e.g., banking, investment or credit)
...Credit (risk) processing or loan processing (e.g.,
           705/38
mortgage)
                     (0 OR, 4 XR)
    705/36T
           Class 705
                          DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,
OR COST/PRICE DETERMINATION
           705/1
                           .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR
MANAGEMENT ARRANGEMENT
                          ...Finance (e.g., banking, investment or credit) ...Portfolio selection, planning or analysis
           705/35
           705/36R
           705/36T
                          ....Tax strategies
    705/4
                     (2 OR, 1 XR)
           Class 705
                          DATA PROCESSING: FINANCIAL. BUSINESS PRACTICE, MANAGEMENT,
OR COST/PRICE DETERMINATION
           705/1
                          .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR
MANAGEMENT ARRANGEMENT
                          .. Insurance (e.g., computer implemented system or method for
           705/4
writing insurance policy, processing insurance claim, etc.)
          (0 OR, 2 XR)
                          DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,
OR COST/PRICE DETERMINATION
           705/1
                          .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR
                                           Page 1
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10601122_CLSTITLES.txt

Titles of most frequently occurring classifications of patents returned from a search of 10601122 on Jul 20, 2007

10601122_CLSTITLES.txt

MANAGEMENT ARRANGEMENT

705/26

.. Electronic shopping (e.g., remote ordering)

2 705/39

(1 OR, 1 XR)
Class 705 DATA DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,

OR COST/PRICE DETERMINATION

705/1 .AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR

MANAGEMENT ARRANGEMENT

705/35 705/39 ...Finance (e.g., banking, investment or credit) ...Including funds transfer or credit transaction

2 705/7

' (1 OR, 1 XR)
Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT,

OR COST/PRICE DETERMINATION

705/1

.AUTOMATED ELECTRICAL FINANCIAL OR BUSINESS PRACTICE OR

MANAGEMENT ARRANGEMENT 705/7

..Operations research

10601122_WEST.txt

10601122_QUAL.txt

20040103053 20040199449 20050209949 20060184446 20070136181 20010056391 20060031152 20060080218 20020013731 20050160027 20030078870 20050216384 20060143099 20020198804 6173270 33 6269346 33	99975554438833663333333333333333333333333333
6862579 32 20030014337	32
7089191 32 20030144888	32
6161096 32 20050165669 20050010518 6321212 29	32 31
20020178101	29
6016483 28 6263321 28 20020065755 20030110107 20030220861 20050160034 20050261998 20070016503 20070043640 20070112661 20060036531 20020042771 20020194136 20040172349 20050192875 20050192875 20050144117 5799287 25 6418417 25	28 28 28 28 28 28 28 28 28 28 28 27 26
5799287 25 6418417 25 20030208430 20040039620 20050027634 20050149423 20060100948	25 25 25 25 25 25

10601122_CLS.txt Most frequently occurring classifications of patents returned from a search Of 10601122 on Jul 20 , 2007

```
Original Classifications

18    705/36R

12    705/35

11    705/37

2    705/4

2    705/38

Cross-Reference Classifications
    7    705/35
    5    705/1
    4    705/36T
    2    705/36R
    2    705/38

Combined Classifications
    20    705/36R
    2    705/38

Combined Classifications
    20    705/36R
    19    705/35
    15    705/37
    5    705/1
    4    705/38
    4    705/38
    4    705/36T
    3    705/4
    2    705/26
    2    705/39
    2    705/7
```

10601122_LIST.txt

PLUS Search Results for S/N 10601122 Searched Jul 20, 2007.
The Patent Linguistic Utility System (PLUS) is a USPTO automated search system for U.S. Patents from 1971 to present. PLUS is a query-by-example search system which produces a list of patents that are most closely related linguistically to the application searched. This search was prepared by the staff of the Scientific and Technical Information Center, SIRA. 20060184446 20050027634.

```
able 2
above 2
abstract 1
accommodate
accounting
accurate 1
accurately 1
actual 1
addition 4 address 7
addresses
adjusted 1
adjusting
affecting
after
allow
although
american
amsterdam 1 analysis 3
analysts
announcements 1
annual
        1
any 2 application 2
applications 2
art 1
ashenfelter 1
associated 1
assume 2
assumes 2
assumptions attempt 2 attempts 1
authors 1
average 1
averse 2
aversion 6
background
backward
based 7
basis 1
becomes 1
before 3
behavior
believed 1
below 2
benefit 1
benefits 1
biggest 1
binomial
black 8
blackout
block 1 both 1
boundary
brief 2
bureau 1
but 2 calibrate
calibrated 2
calibrates 1
calibrating 2
```

10601122_WDS.txt

```
calibration 3
call 1
can 13
cannot 1
card 1
carpenter 2
cause 1
certain 2
certainty
character
chosen 1
claims 1
cliff 1
coca 1
cola 1
common
companies
company
compared
compensation
component 1
comprehensive 1
compute 1 computer 3
conclusion 1
consequence 1
consequently 2
consider
considerations 1
constant 1 continuing
contrasted:
correctly
cost 8
costly 1 costs 2
course
cross 1
cure 1
curve 1
date 6
dates 1
debate 1 decade 1
december
decision 1
decisions 3
decrease 1
deep 1
deficiencies
deficiency 1
departure 1
derivatives 1
describing 1
description 1
design 2
designed 2
detail 1
determine
determined
determines
determining
develop 1
```

Page 2

```
developed 1
develops 2
diagram 1
differences
different 2
differentiate 3
directed
discussed 3
distribution
diversified diversify 1 dividend 1
dramatically
drawback 1
drawings
duration
durations 1
during 1
earlier 1
early 4
economic 3
economics 5
eds 1
effect 3 effective effects 2 either 3
elaborate 1
electric 1
else 1
emerged
empirical employee
employees 11
enables 1
enau
entirety
-1 2
enabling 1
            1
equal
equivalent 2
error
eso 26
esos 50
especially
essentially 1
eto 1
etos 11
european
evolves 1
example
exceeds
exception 2 exchange 3 executive 4
executives
exemplary
exercise 35
exercised
             6
exercises
exercising
exists 1
exogenous
expected
expense 1
```

```
expenses 1
expiration 3
expires 2
expiring 2
explicitly 1 extended 1
extension 1
factors 2
failing 1
fails 1
fall 1
far 2
feature 3
features 17
few 1
field 1
filed 1
financial
firm 6
firms 2
first 2
fiscal 1 fitting 1 flexibility
forced 3 forfeit 3
forfeited 1
forfeiture 15
form 1
formula 1
formulas 1 four 2
framework 1
future 1
general
give 2
given 2
graded 2
grant 2
granted 2
greatly
grounds
hall 6
handbook 1
hedge 1
heightened 1
hence 2
her 3
hereby 1
high 2
his 1
holder 3
holders 1
holland 1
how 1
huddart 3
importance important 1
improvement 1
inc 1
incentive 2
include 4
including 3
```

```
incorporated 1
 incorporates
incorrect 1
increase 2
increased 1
 increases 2
 index 2
 indexed 4
 induction 1
 initially
input 1 inputs 2 instead 1
 interest 1 intrinsic 3
inversely 1 investor 1
investor 1
investors 1
johnson 3
joint 2
journal 7
jun 1
key 2
know 1
kulatilaka 3
labor 2
lambert 3
 lang 1
larcker 1
large 1
last 1
least 1
leave 1
leaving 2
less 6
level 2
levels 2
 limitations 2
 liquidity 1
 literature 2
lower 1 make 3
manner 1
marcus 2
market 3
maximization 2
maximize 2
may 2
measures 6
meet 1
mentioned 1
method 4
methodology
methodology
methods 1
minimum 1
minus 1
model 28
models 11
money 2
months 2
more 2
most 2
```

Page 5

10601122_WDS.txt

```
murphy 8 must 2
national
necessary 1
net 1
neutral
node 1
non 10
nontraditional
nor 1
normal
        1
north
noted
novel
        1
november
number 2
numerous
obligation 1 observed 7
obtain
occurs
once 1
one 1
only 7
opposed 1
optimal
option 22
options 21
ordinary 2
out 1
output 1
outside 2
over 4
overcome 2
overstate
overstated 1
pages
paper
parameter 2
parameters
particular
             1
patent 1
pay 1
percent 3
percentage
performance 4
period 2
perspective 3
place 1
plans
pointed 1 poorly 2
portfolio 2
portion 1 possibility
practicing predict 1
predicted 1 predicts 1
prescribed 1
preset 1
price 20
prices 1
```

```
pricing 2
principles 1
prior 1 probabilities 2
probability problems 1
produce 1
produced 1
program 2
programs 2
proper 1
providing
provisional
provisions 1
purchase 1
purchased 3
quarter 1 random 1 ratio 2
reality
reasons
receives 1 recent 1
reduce 1
reduces 1
reference 2
referred 1
reflect 4
reflects 3
related 5
relates 1
remainder 1
report 1 reported 2
reporting 1
representation
repriceable 4
require 2
required 2
requirements 2
research 2
reset 2 restrictions
result 1
results 3
retention 1
review 1 right 1
rigorous
rišk 12
rubinstein
schedule 1
scholes
second 1
see 5 seem 1
sensible 2
several 2
shareholders 1
shares
she 4
short 1
shortcoming 1
```

```
shortly 2 show 3
shown 1
shows
simple 1
single 1
six 1 solving 1
          1
spring
standard 4
stated 2
steps 1
stock 17
strike 9
substantially
                   2
sum 1
summary 1
system 4
ten 1
tend 6
term 1
termed 1
terminal 3
them 6 three 1
through
tian
tied
time
too
top
traded 6
traditional 7
traditionally 1
transferability 2
treated
tree 4
two 2
type 3
types 1
typically unable 2
under 2
underlying 2
undiversified
unique 3
                   1
unlike
used 5
          6
user
uses 1
using 2
usually 5
utility 3
valuation
valuations
value 31
valued 2
values
valuing 4
variety
vary 2
verrecchia 1
very 1
```

10601122_WDS.txt

```
vest 3
vested 5
vesting 18
vests 1
vol 5
volatilities 1
volatility 2
water 2
way 1
wealth 5
well 1
wide 1
working 1
worth 2
worthless 2
year 3
years 5
yet 1
yields 1
```